ON AND DISMISSAL
(DLI) (RML)

WHEREAS, the parties have reached a settlement agreement and now desire to resolve the remaining issues raised in this litigation, without further proceedings and without admitting any fault or liability;

NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED, by and between the undersigned, that

1. The above-referenced action is hereby dismissed with prejudice; and

[INTENTIONALLY LEFT BLANK]

2. Notwithstanding the dismissal of this action in accordance with this agreement, the District Court shall continue to retain jurisdiction over this action for the purpose of enforcing the terms of the settlement agreement reached between the parties and set forth in the Stipulation of Settlement executed by the parties in this matter.

By:

Dated: New York, New York October 22, 2020

EMERY, CELLI, BRINCKERHOFF ABADY WARD & MAAZEL, LLP Attorneys for Plaintiff 600 Fifth Avenue, 10<sup>th</sup> Floor New York, New York 10020

O. Andrew F. Wilson
Andrew K. Jondahl

JAMES E. JOHNSON
Corporation Counsel of the
City of New York
Attorney for Defendants
100 Church Street, 3<sup>rd</sup> Floor
New York, New York, 19007

Mary O'Flynn Stephen M. Suhovsky Senior Counsel

SO ORDERED:

HON. DORA L. IRIZARRY UNITED STATES DISTRICT JUDGE

Dated: \_\_\_\_\_, 2020

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK	x
JULIET DIETRICH,  Plaintiff,	STIPULATION OF SETTLEMENT
-against-	19-CV-04503 (DLI) (RML)
CITY OF NEW YORK, NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES SPECIAL OFFICER CHARLES PARKER, and NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES SPECIAL OFFICER RICARDO HENRY,	
Defendants.	

WHEREAS, Plaintiff Juliet Dietrich ("Plaintiff") commenced this action by filing a complaint on or about August 5, 2019 (the "Complaint") against the City of New York, New York City Department of Administrative Services Special Officer Charles Parker, and New York City Department of Administrative Services Special Officer Ricardo Henry ("Defendants"), alleging that Defendants violated Plaintiff's federal civil and state common law rights; and

WHEREAS, Defendants have denied any and all liability arising out of Plaintiff's allegations; and

WHEREAS, the parties now desire to resolve the issues raised in this litigation, without further proceedings and without admitting any fault or liability; and

WHEREAS, the parties have authorized their respective counsel to settle this matter on the terms set forth below;

**NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED**, by and between the undersigned, as follows:

- 1. Defendant City of New York hereby agrees to pay Plaintiff the sum of Ninety-Five Thousand (\$95,000.00) U.S. Dollars (the "Settlement Amount") to Emery Celli Brinckerhoff Abady Ward & Maazel LLP, within 90 days of receipt of executed copies of the documents attached hereto as Exhibits A-C, in full satisfaction of all claims, including any claims for physical pain and suffering and for costs, expenses and attorneys' fees.
- 2. In consideration for the payment of the Settlement Amount, Plaintiff agrees to dismissal of all the claims, with prejudice, and without costs, expenses, or attorney's fees, against the Defendants and to release defendants City of New York, Special Officer Parker and Special Officer Henry; their successors or assigns; and all past and present officials, employees, representatives, and agents of the City of New York or any entity represented by the Office of the Corporation Counsel, from any and all liability, claims, or rights of action alleging a violation of plaintiff's civil rights and any and all related state law claims, from the beginning of the world to the date of the Release, including claims for costs, expenses, and attorneys' fees.
- 3. Plaintiff shall execute and serve on the City of New York's attorney by Federal Express at 100 Church Street, New York, New York 10007 the documents necessary to effect this settlement, including without limitation, a Release (attached hereto as Exhibit A), an Affidavit of Status of Liens (attached hereto as Exhibit B), and IRS Form W-9 (attached hereto as Exhibit C). Prior to tendering the requisite documents to effect this settlement, Medicare-recipient plaintiffs must obtain and submit a final demand letter from their Medicare provider(s) for the reimbursement of any conditional payments made for the injuries claimed in this matter. A Medicare Set-Aside Trust may also be required if future anticipated medical costs are found to be necessary pursuant to 42 U.S.C. § 1395y(b) and 42 C.F.R. §§ 411.22 through 411.26.

- 4. Nothing contained herein shall be deemed to be an admission by a the Defendants or the Plaintiff that they have in any manner or way violated another Party's rights, or the rights of any other person or entity, as defined in the constitutions, statutes, ordinances, rules or regulations of the United States, the State of New York, or the City of New York or any other rules or regulations of any department or subdivision of the City of New York. This stipulation shall not be admissible in, nor is it related to, any other litigation or settlement negotiations, except to enforce the terms of this agreement.
- 5. Nothing contained herein shall be deemed to constitute a policy or practice of the City of New York or any agency thereof.
- 6. Plaintiff agrees to hold harmless Defendants regarding any past and/or future Medicare claims, presently known or unknown, in connection with this matter. If Medicare claims are not satisfied, Defendants reserve the right to issue a multiparty settlement check naming the Medicare provider as a payee or to issue a check directly to the Medicare provider for the amount claimed in the Medicare provider's final demand letter.
- 7. This Stipulation of Settlement contains all the terms and conditions agreed upon by the parties hereto, and no oral agreement entered into at any time nor any written agreement entered into prior to the execution of this Stipulation of Settlement regarding the subject matter of the instant proceeding shall be deemed to exist, or to bind the parties hereto, or to vary the terms and conditions contained herein.

Dated: New York, New York October 22, 2020

EMERY, CELLI, BRINCKERHOFF ABADY WARD & MAAZEL, LLP Attorneys for Plaintiff 600 Fifth Avenue, 10th Floor New York, NY 10020 212-763-5000

Bv:

O. Andrew F. Wilson Andrew K. Jondahl Attorneys for Plaintiff JAMES E. JOHNSON
Corporation Counsel of the
City of New York
Attorney for Defendants City of New York,
Parker, and Henry
100 Church Street 2ld Floor

100 Church Street, 3<sup>rd</sup> Floor New York, New York 10007

By:

Mary O'Flynn

Stephen M. Suhovsky

Senior Counsel

## Exhibit A

### **RELEASE**

KNOW THAT I, JULIET DIETRICH, date of birth, Social Security		
No, plaintiff in the action entitled <u>DIETRICH v. CITY OF NEW YORK, et al.</u> , 19-CV-		
04503 (DLI) (RML), as "RELEASOR," in consideration of the payment of Ninety-Five Thousand (\$95,000.00)		
U.S. DOLLARS to me by the City of New York, do hereby release and discharge defendants City of New York,		
Special Officer Parker and Special Officer Henry; their successors or assigns; and all past and present officials,		
employees, representatives, and agents of the City of New York or any entity represented by the Office of the		
Corporation Counsel, collectively the "RELEASEES," from any and all liability, claims, or rights of action		
alleging a violation of my civil rights and any and all related state law claims, from the beginning of the world		
to the date of this Release, including claims for costs, expenses, and attorneys' fees.		
IN FURTHER CONSIDERATION of the payment set forth above, RELEASOR hereby		
waives, releases and forever discharges RELEASEES from any and all claims, known or unknown, past and/or		
future conditional payments, arising out of the RELEASOR'S Medicare eligibility and receipt of Medicare		
benefits related to the claimed injury in this matter and/or arising out of the provision of primary payment (or		
appropriate reimbursement) including causes of action pursuant to 42 U.S.C. §1395y(b)(3)A of the Medicare,		
Medicaid and SCHIP Extension Act of 2007.		
THIS RELEASE MAY NOT BE CHANGED ORALLY. THE UNDERSIGNED HAS READ THE		
FOREGOING RELEASE AND FULLY UNDERSTANDS IT.		
IN WITNESS WHEREOF, I have executed this Release this day of , 2020.		
Juliet Dietrich		
STATE OF, COUNTY OF SS.:		
On, 2020 before me personally came Juliet Dietrich to me known, and known to me to be the individual described in, and who executed the foregoing RELEASE, and duly acknowledged to me that		

she/he executed the same.

Exhibit B

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORKx	
JULIET DIETRICH,	PLAINTIFF'S AFFIDAVIT OF STATUS OF LIENS
Plaintiff,	
-against-	19-CV-04503 (DLI) (RML)
CITY OF NEW YORK, NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES SPECIAL OFFICER CHARLES PARKER, and NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES SPECIAL OFFICER RICARDO HENRY,	
Defendants.	
x	
STATE OF NEW YORK : SS.: COUNTY OF )  JULIET DIETRICH, being duly sworn, says:	
SECTION I - Background Information (complete all items)	
I am the plaintiff in the above-entitled action, and I reside at	
My date of birth is/  By checking this box I affirm that I am making this affida same will be relied upon by The City of New York, its agents, enconnection with settlement of this claim/action against them.	
(Check one of the boxes below)	
My Social Security Number is	
I have never applied for nor was ever issued a Social Secu	urity Number.

SECTION II – The City of New York Agencies or Departments (check one)
☐ I am not indebted to any department or agency of The City of New York and there are no liens outstanding.
I have unpaid liens, violations or other debts owed to a department and/or agency of The City of New York. I expressly consent to the payment of those sums, or to the sums agreed upon by counsel, directly from the settlement proceeds.
List all liens, violations and/or other debts by providing the name of each City agency (e.g. Department of Finance; Department of Sanitation; Environmental Control Board) and the outstanding sums due below:
SECTION III - Medicaid or Public Assistance (check one)
☐ I have not received Medicaid or Public Assistance benefits.
I have received Medicaid and/or Public Assistance benefits. The Human Resources Administration, Department of Social Services of The City of New York ("HRA") has issued a Final Notice of Lien which provides a total amount due of \$

SECTION IV - Medicare (check one)
Check one:
☐ I am not a Medicare beneficiary.
I am a Medicare beneficiary. My Medicare # (Health Identification Claim Number – HICN) is: The effective date of my Medicare coverage is [Please provide a copy of your Medicare card]. I am aware of my obligation to reimburse my Medicare providers for any conditional payments made on my behalf that relate to the injury that is the subject of this lawsuit, as provided in the stipulation of settlement.
Medicare beneficiaries, check all that apply:
Since the date of the injuries alleged herein, I have been insured under traditional Medicare (known as Medicare Parts A and B). Medicare has confirmed that it will accept the total amount of \$00 as full and final reimbursement of all Medicare payments made to date. [Attach copy of Medicare final demand letter]. I consent to the payment, if any, of that sum directly from the settlement proceeds.
Since the date of the injuries alleged herein, I have been insured under a Medicare Replacement Policy (known as a Part C Medicare Advantage Plan). My Medicare Advantage provider,, Policy #, has confirmed that it will accept the total amount of \$, 00 as full and final reimbursement of all Medicare payments made to date [Attach copy of final demand letter and insurance card for each Medicare Advantage provider that has insured you since the date of injury claimed herein]. I consent to the payment, if any, of that sum directly from the settlement proceeds.
Since the date of the injuries alleged herein, I have been insured under a Medicare Part D prescription drug plan (PDP). My Part D prescription drug provider,
SECTION V - Child Support
I am not in arrears in child support payments.
I am in arrears in child support payments and expressly agree to the collection by the NYC Office of Child Support of all unpaid sums directly from the settlement proceeds.

SECTION VI - New York City Public Hospitals (check one)
I am not indebted nor am I subject to liens by any City public hospital.
☐ ☐ ☐ I am indebted to [City hospital] in the total lien amount of \$ I expressly consent to the payment of that sum directly from the settlement proceeds.
SECTION VII - Workers' Compensation/Disability Benefits (check one)
I have not received Workers' Compensation or Disability Benefits and there are no liens for the same in this matter.
I am indebted to [for Workers' Compensation or Disability Benefits] in the total lien amount of \$ I expressly consent to the payment of that sum directly from the settlement proceeds
expressly consent to the payment of that sum directly from the settlement proceeds.
JULIET DIETRICH
Sworn to before me this day of, 2020
NOTARY PUBLIC

# **Exhibit C**

DO NOT SUBMIT TO THE IRS SUBMIT FORM TO THE NEW YORK CITY AGENCY

## -04503-DLI-RML Document of The Page 15 of 15 Page 19 with 116

#### **SUBSTITUTE FORM W-9:**

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER & CERTIFICATION

**FMS** 

TV-0-00 0000 0000 0000 0000 0000 0000 00			
TYPE OR PRINT INFORMATION NEATLY	'. PLEASE REFER TO	) INSTRUCTIONS FOR MORE	INFORMATION.

Part I: Vendor Information  1. Legal Business Name: (As it appears on IRS EIN records, IRS Letter CP575, IRS Letter 147C -or- Social Security Administration Records, Social Security Card)  2. If you use DBA, please list below:  CP575, IRS Letter 147C -or- Social Security Administration Records, Social Security Card)  Church or Church-Controlled Organization  Personal Service Corporation  Non-Profit Corporation/ Corporation/ LLC  Corporation  Corporation  Trust							
CP575, IRS Letter 147C -or- Social Security Administration Records, Social Security Card)  3. Entity Type (Check one only):  Church or Church-Controlled Organization  Personal Service Corporation  Non-Profit  Corporation/  Covernment  Coty of New York  Individual/  Trust							
Non-Profit Corporation/ Covernment Coty of New York Individual/							
I I I I I I I I I I I I I I I I I I I							
Joint Venture Partnership/LLC Single Member LLC Resident/Non-Resident Alien Non-United States Business Entity Estate							
Part II: Taxpayer Identification Number & Taxpayer Identification Type							
Enter your TIN here: (DO NOT USE DASHES)      Taxpayer Identification Type (check appropriate box):							
Employer ID Number (EIN)  Social Security Number (SSN)  Individual Taxpayer ID Number (ITIN)  N/A (Non-United States Business Entity)							
Part III: Vendor Addresses							
Number, Street, and Apartment or Suite Number  City, State, and Nine Digit Zip Code or Country  1. 1099 Address:							
Number, Street, and Apartment or Suite Number  City, State, and Nine Digit Zip Code or Country  2. Account Administrator Address:							
Number, Street, and Apartment or Suite Number  City, State, and Nine Digit Zip Code or Country  3. Billing, Ordering & Payment Address:							
Part IV: Exemption from Backup Withholding and FATCA Reporting (See Instructions)							
Exemption Code for Backup Withholding Exemption Code for FATCA Reporting							
Part V: Certification							
Under penalties of perjury, I certify that:  1. The number shown on this form is my correct Taxpayer Identification Number, and  2. I am not subject to Backup Withholding because: (a) I am exempt from Backup Withholding, or (b) I have not been notified by the IRS that I am subject to Backup Withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to Backup Withholding, and  3. I am a US citizen or other US person, and  4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.							
The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.  Sign  Here:							
Signature Phone Number Date							
Print Preparer's Name Phone Number Contact's E-Mail Address:							
FOR SUBMITTING AGENCY USE							
Submitting Contact Agency Code: Person:							
Contact's E-         Telephone         ( )           Mail Address:         Number:							
Pavee/Vendor Code:  DO NOT FORWARD W-9 TO COMPTROLLER'S OFFICE. AGENCIES MUST ATTACH COMPLETED W-9 FORMS TO THEIR FMS DOCUMENTS							